

Millennial Temperature Reconstruction Intercomparison and Evaluation: Supplementary material

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1 Introduction

This document describes supplementary data files accompanying “Millennial Temperature Reconstruction Intercomparison and Evaluation” by Juckes, Allen, Briffa, Esper, Hegerl, Moberg, Osborn, Weber and Zorita (hereafter ‘the Manuscript’). Also included are some figures and background discussion.

The software (in the ‘python’ language) used to generate the reconstructions presented in the manuscript will be made available on <http://mitrie.badc.rl.ac.uk> when the manuscript is published.

2 Supplementary data files

cp-2006-0049-sp2.zip contains 10 data files:

```
1: mitrie_proxies_v01.nc
2: mitrie_instrumental_v01.nc
3: mitrie_cited_reconstructions_v01.nc
4: mitrie_new_reconstructions_v01.nc
5: mitrie_new_reconstructions_...
   1400_v01.nc
6: mitrie_new_proxy_pcs_1000_v01.nc
```

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```
7: mitrie_new_proxy_pcs_1400_v01.nc
8: mitrie_proxies_v01.csv
9: mitrie_cited_reconstructions_v ...
   01.csv
10: mitrie_new_reconstructions_v01.csv
```

The first data file contains the proxy data used for this study. The second contains the instrumental data. The third has the previously published reconstructions used in figure 1. The fourth and fifth contain all the new reconstructions referred to in this study, with start years AD1000 and AD1400 respectively (those starting in AD1400 are not referred to in the manuscript, but are discussed in this document below). The sixth and seventh contain principal components of collections of proxies.

Files ending in .nc are in NetCDF format, which can be read by software which is freely available from <http://www.unidata.ucar.edu/> ... software/netcdf/.

Files ending in .csv are in ‘comma separated variable’ format, which can be read by most spreadsheet programs. The csv file contents are derived directly from the corresponding NetCDF files.

The naming of the new reconstructions in files mitrie_new_reconstructions_v01.nc and mitrie_new_reconstructions_1400_v01.nc

is of the form:

```
mr_<proxy_collection>_<start>_ ...
  <technique>_nht_01.<proxy_option> ...
    .<calibration_option>[_<suffix>],
```

where the suffix is optional.

<proxy_collection> is a mnemonic for the collection of proxies used, one of: ‘jbb’, ‘mbh’, ‘ecs’, ‘msh’, ‘hca’, ‘union’, ‘mbh98’, ‘mbhx’, ‘mbh98x’, ‘u85’ and ‘um(nn)’, for $\langle nn \rangle = 01, 02, \dots, 13$. The first 6 are as described in the Manuscript, ‘mbh98’ is the collection of proxies used by Mann et al., (1998) which extend back to AD1400. ‘mbhx’ and ‘mbh98x’ are variations of ‘mbh’ and ‘mbh98’ respectively in which the proxy principal components have been re-calculated. ‘u85’ uses only those proxies from the ‘union’ collection which extend to 1985. ‘um(nn)’, for $\langle nn \rangle = 01, 02, \dots, 13$ are subsets of the ‘union’ collection obtained by omitting proxy number “nn” (more details below). Each reconstruction in the data file has an attribute ‘proxy_collection’ which lists the proxies used.

<start> denotes the start year, AD1000 or AD1400.

<technique> can be “cvm” or “invr”, corresponding to “CVM” and “INVR” described in the Manuscript.

nht denotes the Northern Hemisphere mean temperature used to calibrate all these reconstructions.

<proxy_option> is set to ‘02’ or ‘12’ for calibration proxy principal components evaluated without or with padding of proxy series respectively. In the latter case, padding is with persistence for up to 10 years at the end of the proxy series.

<calibration_option> is set to ‘001’ or ‘002’ for calibration periods starting in AD1856 and AD1902 respectively.

The optional suffix is used to describe variants of the MBH proxy collection:

_ff: including filled French data (ITRDB:FRAN010).

_pc: using the unadjusted first PC of the North American tree ring data.

The following 5 suffixes indicate different methods used to standardise the proxy data prior to evaluation of proxy PCs:

_mbh: using the standardisation of mbh: centred and standardised on detrended-variance of last 79 years.

_mbhx: centred and standardised on variance of last 79 years

_mbhl: centred and standardised on detrended-variance of last 125 years

_cen: centred on the whole period.

_std: centred and normalised on the whole period.

The results of the principal component decomposition in files mitrie_new_proxy_pcs_1000_v01.nc and mitrie_new_proxy_pcs_1400_v01.nc are stored in variables:

```
mppc<pc_number>_<sub_collection>_ ...
  pc_<start>_<tag>.nc
```

where:

<pc_number> is the index of the PC (‘01’, ‘02’, or ‘03’).

<sub_collection> is one of itrdb_namer, stahle_sw, for the ‘ITRDB North American’ and ‘Stahle South West Mexico’ sub-collections of Mann et al. (1998) respectively;

<start> is the start year, 1000 or 1400;

<tag> is one of mbh, mbhx, mbhl, cen, std (meanings are as for the reconstruction names described above).

The 25 series used, for the itrdb_namer sub-collection with start year AD1000, are: AR052, AZ510, CA528, CA529, CA534, CA530, CA533, CO522, CO524, GA002, GA003, LA001, NC008, NM560, NM572, NV510, NV512, NV513, NV514, NV515, NV516, NV517, UT508, UT509, VA021.

For the AD1400 start year this increases to 56 series: AR049, AR050, AR052, AR053, AZ510, AZ550, CA065, CA073, CA084, CA087, CA528, CA529, CA530, CA531, CA532, CA533, CA534, CA555, CO511, CO522, CO523, CO524, CO525, CO535, CO545, CO547, GA002, GA003, GA004, LA001, NC008, NM559, NM560, NM572, NV049, NV053, NV056, NV060, NV061, NV510, NV511, NV512, NV513, NV514, NV515, NV516, NV517, OR009,

OR012, OR015, SD017, UT508, UT509, VA021, WY023, WY023X.

If extrapolation of series which end between AD1970 and AD1980 is allowed (the ‘padded’ version of the proxy collection) a further 14 series can be included: AZ082, AZ086, CA535, CO067, CO076, CO509, CO509X, MT006, NM025, NM026, NV037, UT023, WY005B, WY006.

The ‘um⟨nn⟩’ reconstructions are made using the following proxies, omitting number ⟨nn⟩ in the list:

- 1: GRIP: borehole temperature (degC)
(Greenland), [85],
- 2: Chesapeake Bay: Mg/Ca (degC)
(USA), [85],
- 3: Shihua Cave: layer thickness (degC)
(China), [85],
- 4: China: composite (degC), [85],
- 5: Arabian Sea: Globigerina bull,
- 6: Boreal (USA), [85],
- 7: Taymir (Russia), [85],
- 8: Upper Wright (USA), [85],
- 9 Northern Fennoscandia,
- 10: Northern Urals (Russia), [85],
- 11: Crete (Greenland),
- 12: Morocco,
- 13: Quelcaya 2 [do18] (Peru),

The 8 proxies marked with [85] are used in the ‘u85’ reconstruction.

3 Omission of data by McIntyre and McKittrick (2003) [MM2003]

MM2003 claimed that when errors in the MBH1998 analysis were corrected proxy data suggested 15th century temperatures above those recorded in the 20th century. A response by Mann et al. suggests that the different result is due to. Subsequent peer reviewed publications by McIntyre and McKittrick do not clarify the volume of data omitted, though they have expressed their views elsewhere¹. The following paragraphs clarify this point.

¹<http://www.climate2003.com/SI.MM03.htm>

MM2003 say that their regression coefficient uses all available proxies, but prior to this step they have, following MBH1998, combined proxies from heavily sampled region into principal components. However, unlike MBH1998 they only perform one principal component calculation for each region, for the time period when all proxies in that region are available. MBH1998 also carry out calculations for earlier times using the appropriate subsets of data back to those times.

In MM2003, the key section is 2(i). This subsection does not have a heading, but is intended to justify the assertion at the beginning of section 2: “(i) incorrect calculation of all 28 tree ring principal components”. The subsection discusses a number of issues concerning the subjective choice of the 5 regions in which the principal components are calculated. Table 7 gives some information about the principal components calculated. Note that the “WDCP [World Data Center for Paleoclimatology] Available Period” for North America is given as AD1619 to 1971. There is, of course, a vast amount of North American data prior to AD1619: this date is the earliest date at which all North American sites used by MBH1998 are available.

4 Anomalous reconstruction of McIntyre and McKittrick (2005b) [MM2005b]

MM2005b is concerned mainly with the MBH1998 reconstruction from AD1400 and the methods used to generate it. Although the research described in the manuscript deals with reconstructions from AD1000 to 1980, we will here look briefly at the AD1400-1980 period in order to evaluate the McIntyre and McKittrick criticisms.

As discussed in the manuscript, MM2005b produce a time series which is substantially warmer than that of MBH1998 in the 15th century, though also substantially cooler than their earlier analysis in MM2003. The difference between MM2003 and MM2005b is due to a number of factors. The most significant of these appears to be the omission of weighting factors which were used in MM2003 but not in MM2005b. The difference between the MM2005b result and MBH1998

is due to two factors: the exclusion of the Gaspé data (from St. Anne River) and the change in the standardisation used in the calculation of the proxy principal components in the North American tree ring network. Wahl and Ammann (2007) show that the verification scores for the MM2005b series give a clear indication that there is no skill in the 1400 to 1450 period.

As the Wahl and Ammann work is, at the time of writing, unpublished, we have included here independent verification of some of their conclusions. It is also necessary to verify that their conclusions apply to the slightly different algorithms and calibration approach (against mean temperature rather than PCs) used in this paper.

Figures S1, S2 shows the first proxy principal component of the North American network, calculated under various different conventions. Figure S2 differs from Fig. S1 in allowing the use of padding, as used by MBH1998, so that proxy series which end slightly short of AD1980 can still be used. Whether or not padding is used, the greatest impact is obtained when the normalisation is omitted (brown curves). It is also true, as argued by MM2005b, that the standardisation used by Mann et al. (1998, 1999) does produce a clearer transition from a near level pre-industrial curve to a steadily rising curve in the last 150 years.

We now look at the impact of these changes on the reconstruction. The Gaspé data, which was extrapolated from its start date, AD1403, to AD1400 by MBH1998, has been omitted here. We find marginal impact when the calibration period is AD1856 to 1980 (Fig. S3). With a shorter calibration period, AD1902 to 1980 (Fig. S4, as used by MBH1998, MBH1999, MM2003, MM2005b), we find a result similar to that of MM2005b: using proxy PCs from un-normalised collections does produce an anomalous 15th century.

Figure S5 shows the modified principal components used in the reconstructions shown in Fig. 3 of the manuscript.

These calculations show that the sensitivity to reducing the data volume and changing the proxy PC calculation is reduced both by using CVM instead of INVR and by extending the calibration period.

5 Sensitivity to the end of the analysis period

This manuscript, as in many earlier studies, has used proxies up to AD1980. It is clearly desirable to make the overlap between the proxies and the observational data as large as possible in order to reduce uncertainty in the statistical regression. The advantages which would be gained by extending the study period to, say, AD2005, have to be offset against the major disadvantage that many of the proxies do not extend to that date. The choice of AD1980 as a cut-off admits a large number of proxies and also captures a substantial fraction of the variability in the instrumental temperature record.

Briffa et al. (1998), D'Arrigo et al. (2006) curtail their study period for a different reason: these two papers are heavily dependent on high latitude tree-ring data which has been found to have an inhomogeneous response to temperature over the last century: stronger in the early part of the century.

The proxy data used in this study do not allow a detailed investigation of this issue. Moving the cut-off date forward 5 years reduces the number of proxies from 13 to 8 (denoted the 'u85' proxy collection), but, as shown in Fig. S6, the character of the reconstruction is not changed significantly. Moreover, most of the difference arises from the different choice of proxies, as shown by the curve 'u85b' which uses the same calibration period as the 'union' curve with the 'u85' proxy collection. The difference between 'u85' and 'u85b', which results from extending the calibration period by 5 years, is minimal.

This is perhaps to be expected as the proxies which suffer from the problems highlighted by Briffa et al. (1998), D'Arrigo et al. (2006) make up a relatively small part of the 'Union' proxy collection.

Figure S7 shows the unsmoothed series for the calibration period, showing how the 'u85' composite captures the up-turn in temperatures at the start of the 1980s.

6 Sensitivity to omission of proxies

Figure S8 shows 13 reconstructions, each made by omitting one member of the ‘union’ collection. It is clear that no single proxy has a dominant influence.

The proxy series with the greatest influence in both the maximum and minimum pre-industrial temperature estimates is the GRIP borehole series, the omission of which generates a cooler estimated peak in the 10th century by about 0.065K and a cooler minimum in the 17th century by 0.1K (series ‘um01’ in Fig. S8).

7 Sensitivity of sample autocorrelations to sample size

The Monte Carlo significance tests used in the manuscript require an estimate of the auto-correlation function of the data. The auto-correlations of the reconstructions evaluated in this study are markedly different from that of the instrumental temperature record (manuscript Fig. 4). In order to evaluate the possibility that this is, in part, due to different sample sizes, auto-correlations evaluated from reconstructions truncated to the length of the instrumental record are shown in Fig. S9. The truncation of the reconstructions leads to a spurious anti-correlation at lags of 30 to 60 years, with a similar amplitude to that seen in the auto-correlation of the instrumental record. This supports the hypothesis that the strong anti-correlations seen in the latter are an artifact of the short data record.

8 Web sites

The ITRDB data can be obtained from:
www.ncdc.noaa.gov/paleo.

The data used by Mann et al. (1998) is available here:

[http://www.nature.com/nature/ ... journal/v430/n6995/suppinfo/nature02478.html](http://www.nature.com/nature/journal/v430/n6995/suppinfo/nature02478.html)

More information about proxy data sources and the scientific basis for expecting a temperature signal can be found at:
http://mitrie.badc.rl.ac.uk/short_reviews.

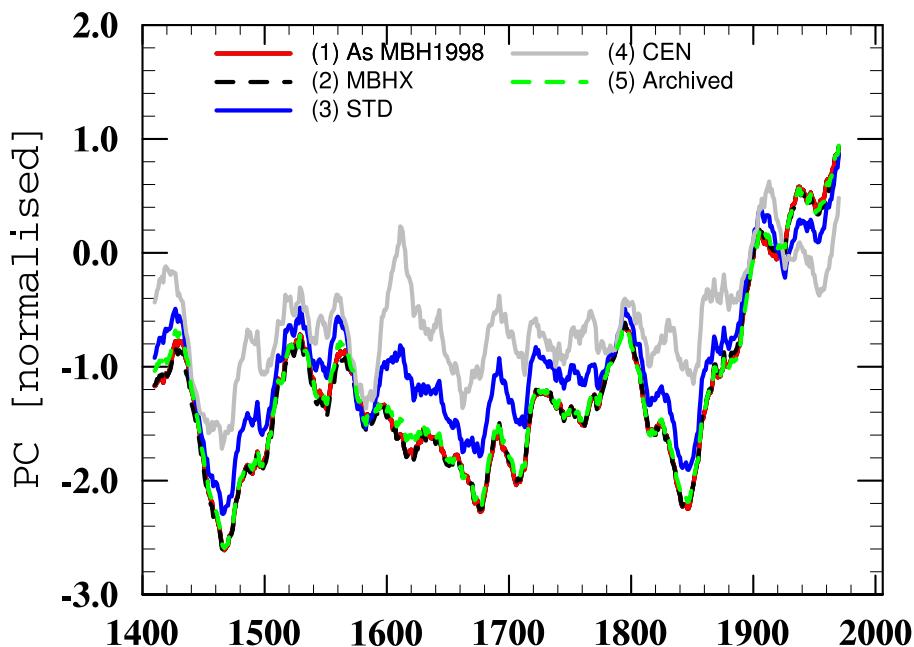


Fig. 1. Proxy principal components: the first principal component of the North American ITRDB network of Mann et al., 1998. (1) Using the normalisation as in Mann et al. 1998, (2) as (1), but using full variance for normalisation rather than detrended variance, (3) normalised and centred on the whole series, (4) centred only (5) as archived by MBH1998. 21-year running means.

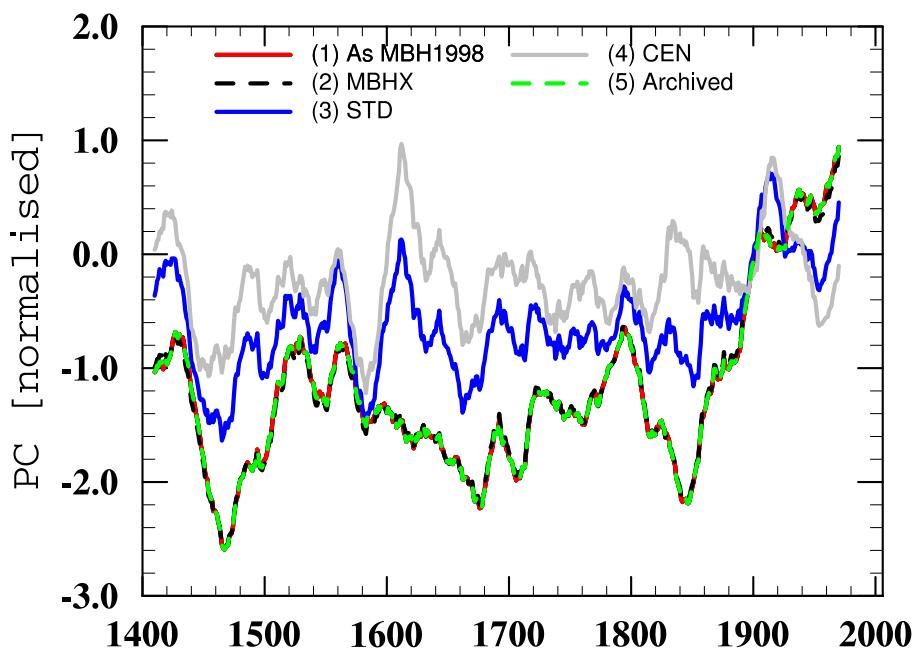


Fig. 2. As Fig. S1, except allowing padding of up to 10 years data, so that the proxy network is 70 instead of 56 trees (see section 2 of this document for lists of proxies).

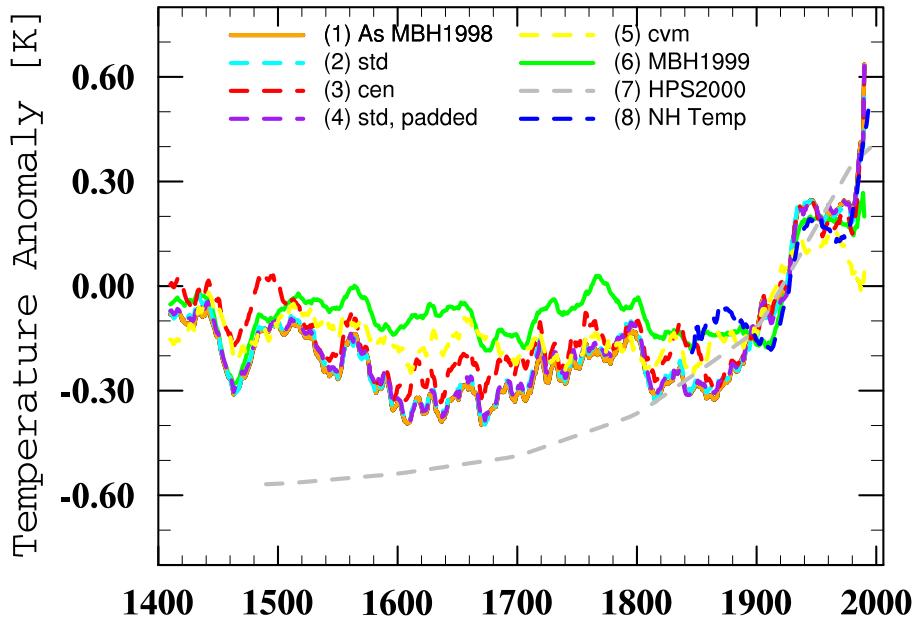


Fig. 3. (1-5) Various reconstructions, AD1400 to AD1980, using variants of the Mann et al, 1998 data. Calibrated on AD1856 to 1980 Northern Hemisphere temperature. (6-7) are reconstructions by MBH1999 and HPS 2000 respectively, (8) is the Northern Hemisphere instrumental temperature. (1-4) use the INVR technique, (1) using the same proxies as MBH1998, (2) using proxy PCs recalculated with standardisation on the whole series, (3) using proxy PCs which have been centred but not normalised, (4) as (2), but with padding of proxy series. (5) is as (2), but using the CVM technique instead of INVR. 21-year running means.

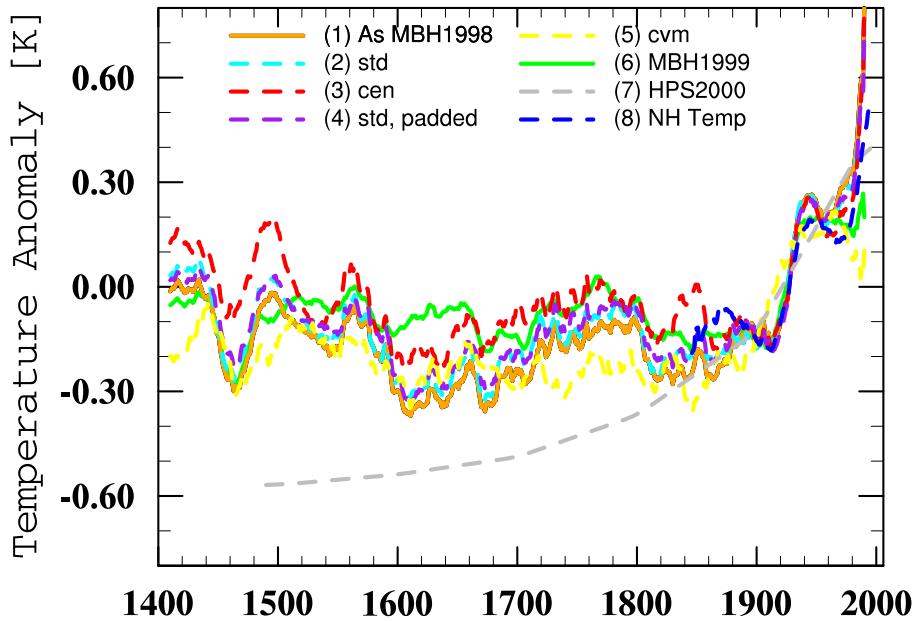


Fig. 4. As Fig. S3, except calibrated on AD1902 to 1980.

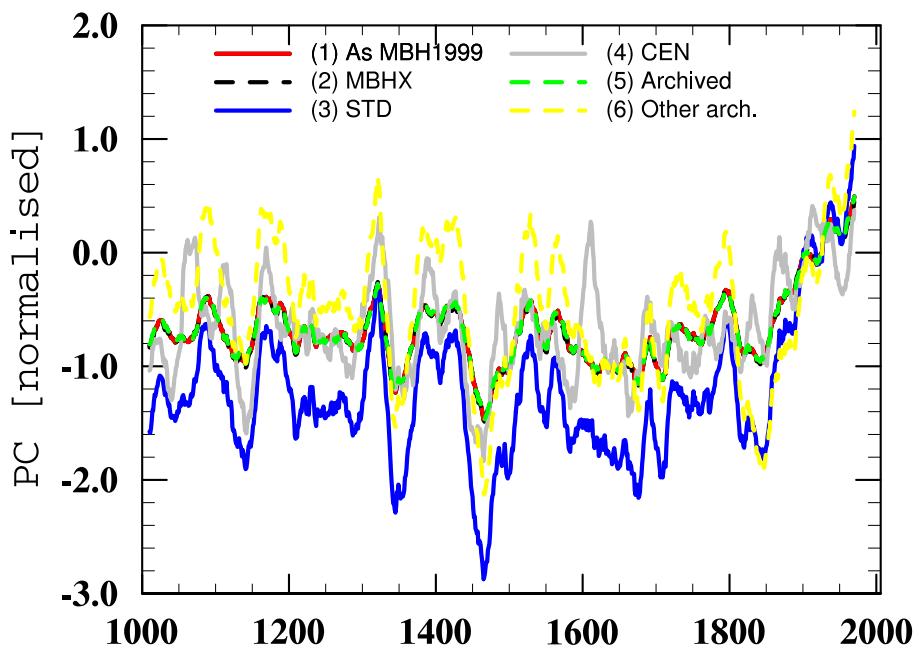


Fig. 5. First principal component of the ITRDB North America network, as used by MBH1999. (1) calculated using the method of MBH1999, (2) as (1), except normalising with the full variance of the calibration period rather than the detrended variance, (3) centering and normalising on the whole series, (4) centering only, no normalisation, (5) as archived by MBH1999, (6) adjusted version (see MBH1999). 21-year running means.

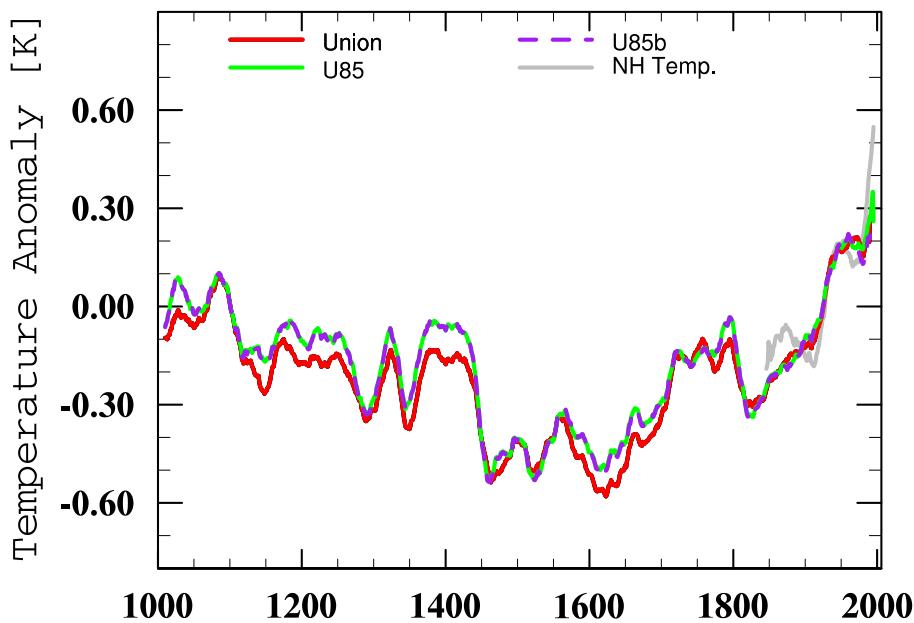


Fig. 6. The ‘u85’ reconstruction, using 10 proxies which extend to 1985, compared against the ‘union’ reconstruction. Also shown is the Northern Hemisphere temperature record. The ‘u85b’ reconstruction uses the same data as ‘u85’, but is only calibrated on the period 1856 to 1980.

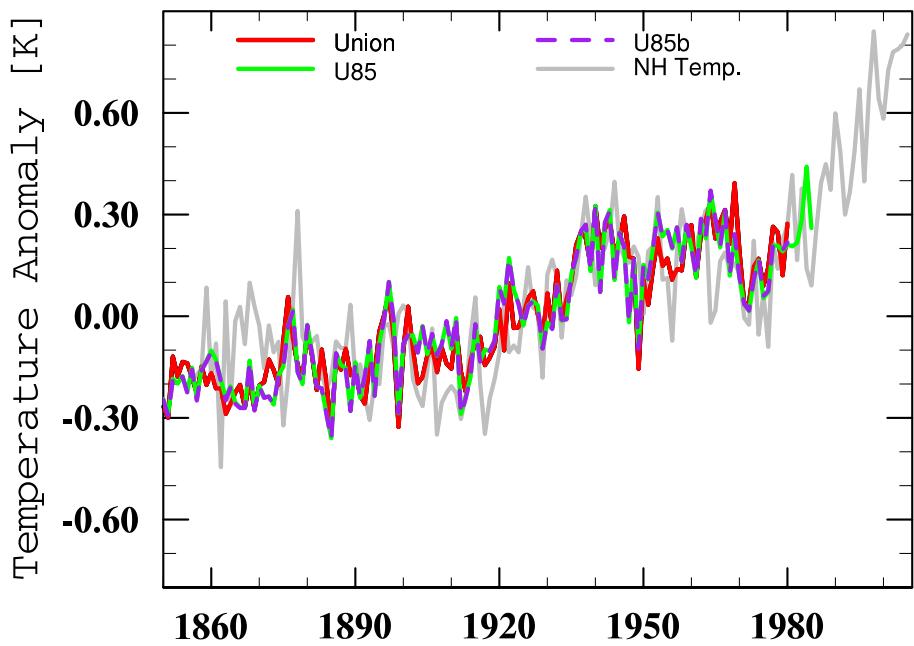


Fig. 7. As S6, but only showing the period after 1850 and unsmoothed.

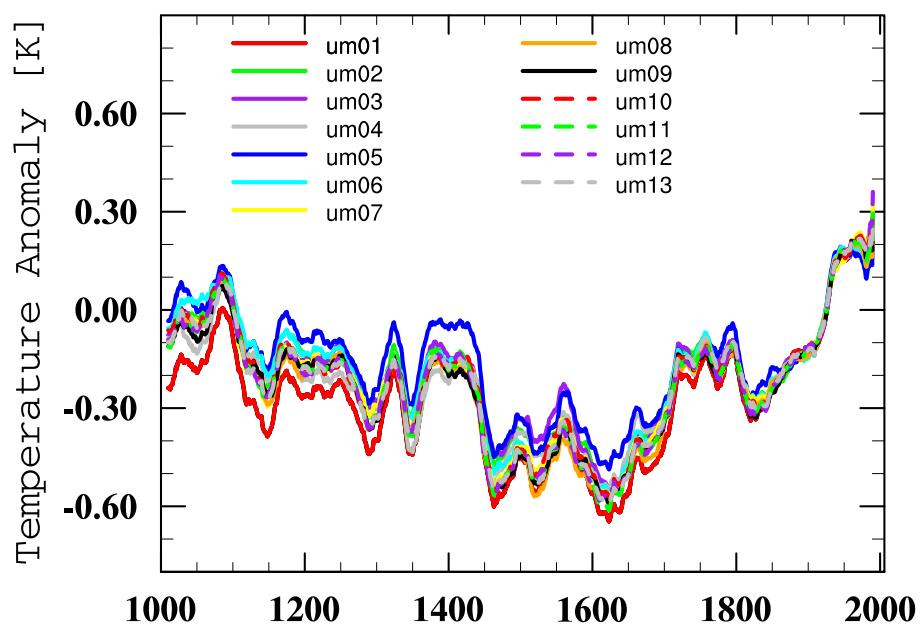


Fig. 8. Various reconstructions. With mean of 1900 to 1960 removed. 21-year running means.

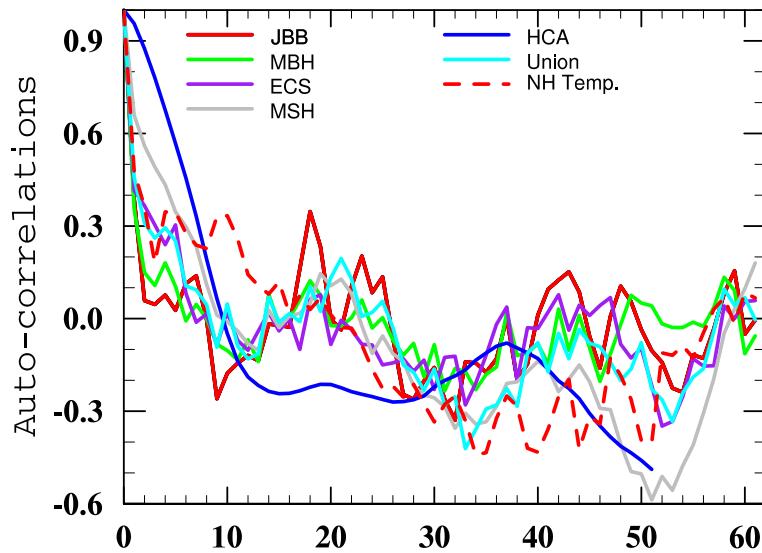


Fig. 9. Auto-correlations of reconstructions, evaluated from series truncated to 125 years length.